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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Laurence B. Boucher, et al.

Ser. No:

10/678,336

Filing Date:

October 3, 2003

Examiner:

Unknown

Atty. Docket No:

ALA-008H

GAU:

Unknown

、For:

METHOD AND APPARATUS FOR DYNAMIC PACKET BATCHING WITH A HIGH PERFORMANCE NETWORK INTERFACE

January 6, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Information Disclosure Statement per 37 C.F.R. §1.98

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants bring one hundred and forty-four documents listed on the enclosed ten-page form PTO-1449 to the attention of the Examiner in the above-identified application.

Citation of these documents shall not be construed as an admission that these documents are prior art with respect to the instant invention, a representation that a search has been made, or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Copies of the documents listed on the enclosed ten-page form PTO-1449 are not submitted because they were submitted in earlier applications (09/384,792 and 10/005,536) which are relied upon for an earlier filing date under 35 U.S.C. §120. Documents listed on pages one through six of the enclosed ten-page form PTO-1449 were submitted in Application Serial No. 09/384,792, and documents listed on pages seven through ten were submitted in Application Serial No. 10/005,536.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 6, 2004.

Date: 1-6-04

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Respectfully submitted,

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	U.S	. Department of Commerc	e, Patent and T	rademark Office		Appli	cation No.: 10/6	78,336	
PASTORIA	ЛАТІ	ON DISCLOSURE	E STATEM	ENT BY APPLICANT	L	Filing	date: October 3	3, 2003	
<u>@</u>		ON DISCEOSURE				Inven	tors: Laurence I	B. Boucher	et al.
0 9 2004 E						Grou	p Art Unit: Unkr	nown	
METHO	AND	APPARATUS FO	R DYNAM	IC PACKET BATCHING	3 L	Exam	iner name: Unkr	nown	
PRADENTIT	HAH	IGH PERFORMAI	NCE NETW	ORK INTERFACE		Attor	ney Docket No. A	ALA-008H	
				S. Patent Documents	·				
Examiner		Document			- CI		C 1-1	Filing Da	ate,
Initial		Number	Date	Name	Cla		Subclass	If Appro	priate
	Α	4,336,538	12/28/92	Johnson et al.	364		200		
	В	5,097,442	03/17/92	Ward et al.	365		78		
	С	5,629,933	05/13/97	Delp et al.	370)	411		
_ , _	D	5,664,114	09/02/97	Krech, Jr. et al.	395	i	200.64		
	Е	5,794,061	08/11/98	Hansen et al.	395	i	800.01		
	F	5,802,580	09/01/98	McAlpice	711		149		
	G	5,815,646	09/29/98	Purcell et al.	395	;	163		
	Н	5,771,349	06/23/98	Picazo, Jr. et al.	395	•	188.01		
**	I	6,009,478	12/28/99	Panner, et al.	710)	5		
	J	5,056,058	10/8/91	Hirata, et al.	364		900		
	К	5,913,028	6/15/99	Wang, et al.	395	,	200.33		
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	М								
	<u> </u>		Fore	ign Patent Documents			L	<u> </u>	
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		Document Number	Date	Country	C	lass	Subclass	Yes	No
	N	WO 99/65219 (PCT/US/99/13184)	6/10/99	International					
	0	WO 01/05107 A1 (PCT/US00/19006)	7/13/00	International					
	P	WO 01/05116A2 (PCT/US00/19243)	7/13/00	International		,			
	Q	WO 01/05123 A1 (PCT/US00/18976)	7/12/00	International					
	R	WO 01/04770A2 (PCT/US00/18939)	7/11/00	International					
	s	(WO 00/13091) PCT/US98/24943	11/20/98	International					
Examiner			Date Cons	idered					

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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THE PARATI	ION DISCLOSURE STATEMENT BY APPLICANT	Filing date: October 3, 2003					
· (2)	TON DISCLOSURE STATEMENT DI ATTEICANT	Inventors: Laurence B. Boucher, et al.					
AN 0 9 2004 E		Group Art Unit: Unknown					
METHODANI	APPARATUS FOR DYNAMIC PACKET BATCHING	Examiner name: Unknown					
PRADMYTH A I	HIGH PERFORMANCE NETWORK INTERFACE	Attorney Docket No. ALA-008H					
	OTHER ART (Including Author, Title, Date, Pertinent Pa	ges Ftc)					
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D	Internet web pages from "iSCSIhba.com" website directed to JNI iS 3210/6410", 6 pages, downloaded and printed 10/1/01.	CSI HBAs including the "FCE-					
Е	Internet web pages from the "iSCSI Storage.com" website that ment downloaded and printed 10/1/01.	ion an Emulex HBA, 2 pages,					
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K	Internet site <u>www.interprophet.com</u> , 17 pages, printed 3/1/00.	·					
L	The I-1000 Internet Tuner Features, iReady Corporation, 2 pages, da						
M	Internet pages entitled: Northridge/Southbridge vs. Intel Hub Archit						
N	Gigabit Ethernet Technical Brief, Achieving End-to-End Performand 15 pages, September 1996.	e. Alteon Networks, Inc., First Edition,					
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P	VT8501 Apollo MVP4 Documentation, VIA Technologies, Inc., pag 21 pages, revision 1.3 (Feb. 1, 2000).	ges i-iv, 1-11, cover and copyright page,					
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R	Jato Technologies Internet pages entitled: Network Accelerator Chip 13 pages, printed 8/19/98.						
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1 4 7004 m	U.	S. Department of Cor	nmerce, Patent and Trademark Office	Application No.: 10/678,336				
RADEMAR	a Ti	ION DISCLOS	URE STATEMENT BY APPLICANT	Filing date: October 3, 2003				
		—————		Inventors: Laurence B. Boucher, et al.				
				Group Art Unit: Unknown				
METHOD A	ANI	APPARATUS	FOR DYNAMIC PACKET BATCHING	Examiner name: Unknown				
WITH	AI	HIGH PERFOR	MANCE NETWORK INTERFACE	Attorney Docket No. ALA-008H				
		OTHE	R ART (Including Author, Title, Date, Pertinent Pag	ges, Etc.)				
4	A	Internet pages from	n website http://www.ireadyco.com/about.html, 3 pa	ges, downloaded 11/2/98.				
1	В		nives, "Revolutionary Approach to Consumer Electron, 11/20/97, downloaded and printed 11/02/98.	onics Internet Connectivity Funded", San				
	С		nives, "Seiko Instruments Inc. (SII) Introduces World elligent LCD Modules Based on IReady Technology					
1	D	NEWSwatch - IR	eady Internet Tuner to Web Enable Devices, Novem	ber 5, 1996. 2 pages, printed 11/02/98.				
1	Е	David Lammers, EETimes, 06/13/97, "Tuner for Toshiba, Toshiba taps iReady for Internet tuner," 2 pages, printed 11/02/98.						
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	G	Internet pages enti- printed 11/25/98.	itled: Hardware Assisted Protocol Processing, which	n Eugene Feinberg is working on, 1 page,				
1	н	Internet pages of 2	Kpoint Technologies <u>www.xpoint.com</u> web site, 5 pa	ges, printed 12/19/97.				
	I	Internet pages ent	itled: Asante and 100BASE-T Fast Ethernet, 7 pages	s, printed 5/27/97.				
	J	Internet pages entiprinted 12/21/98.	itled: A Guide to the Paragon XP/S-A7 Supercompu	ter at Indiana University, 13 pages,				
	К	Internet pages dire pages, printed 3/1	ected to: Technical Brief on Alteon Ethernet Gigabit 5/97.	NIC Technology, <u>www.alteon.com</u> , 14				
	L		itled: DART: Fast Application level Networking vish, 25 pages, printed 6/3/99.	a Data-copy Avoidance,				
1	М	Internet pages of I	InterProphet entitled: Frequently Asked Questions, b	by Lynne Jolitz, 4 pages, printed 6/14/99.				
	N	Intenet pages entit	tled iREADY About Us and iREADY Products, 7 pa	nges, printed 11/25/98.				
	0	Andrew S. Tanenl	baum, Computer Networks, Third Edition, 1996, ISE	BN 0-13-349945-6.				
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			idered, whether or not citation is in conformance with					

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INF	MA'	TION DISCLOSU	RE STAT	EMENT BY APPLICAN	NT	Filing date: October	er 3, 2003	
ADEMART						Inventors: Laurence	e B. Bouch	er, et al
	_					Group Art Unit: Un	nknown	
				AMIC PACKET BATCH	ING	Examiner name: U	nknown	
Wľ	TH A	A HIGH PERFORM	IANCE NE	TWORK INTERFACE		Attorney Docket N	o. ALA-008	H
				U.S. Patent Documents				
Examiner nitial		Document Number	Date	Name	Class	Subclass	Filing Da If Approp	
	Α	4,991,133	2/5/91	Davis et al.	364	900		
	В	5,163,131	11/10/92	Row et al.	395	200		
	С	5,212,778	5/18/93	Dally et al.	395	400		
	D	5,280,477	1/18/94	Trapp	370	85.1		
	Е	5,289,580	2/22/94	Latif et al.	395	275		
	F	5,303,344	4/12/94	Yokoyama et al.	395	200		
	G	5,412,782	5/2/95	Hausman et al.	395	250		
	Н	5,448,566	9/5/95	Richter et al.	370	94.1		
	I	5,485,579	1/16/96	Hitz et al.	395	200.12		
	J	5,506,966	4/9/96	Ban	395	250		
	K	5,511,169	4/23/96	Suda	395	200		
			F	Foreign Patent Documents				
							Trans	lation
		Document Number	Date	Country	Class	s Subclass	Yes	No
	L	WO/98/19412 PCT/US97/17257	9/26/97	International				
	М	WO/98/50852 PCT/US98/0871	11/1298	International				
	N	WO/99/04343 PCT/US98/14729	7/17/98	International				
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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Da If Appro	
	Α	5,548,730	8/20/96	Young et al.	395	280		
	В	5,558,121	12/24/96	Reddin et al.	395	200.15		
	G	5,590,328	12/31/96	Seno et al.	395	675		٠.
	D	5,592,622	1/7/97	Isfel et al.	395	200.02		
	Е	5,634,099	5/27/97	Andrews et al.	395	200.07		
	F	5,634,127	5/27/97	Cloud et a.	395	680		
	G	5,642,482	6/24/97	Pardillos	395	200.2		
	Н	5,671,355	9/23/97	Collins	395	200.2		
	I	5,692,130	11/25/97	Shobu et al.	395	200.12		
	J	5,699,317	12/16/97	Sartore et al.	395	230.06		
	K	5,701,434	12/23/97	Nakagawa	395	484		
			For	eign Patent Documents				
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FORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No.: 10/678,336

Filing date: October 3, 2003

Inventors: Laurence B. Boucher, et al.

Group Art Unit: Unknown

Examiner name: Unknown

THOD AND APPARATUS FOR DYNAMIC PACKET BATCHING WITH A HIGH PERFORMANCE NETWORK INTERFACE

Attorney Docket No. ALA-008H

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date, If Appropriate
	Α	5,749,095	5/5/98	Hagersten	711	141	
	В	5,751,715	5/12/98	Chan et al.	370	455	
	С	5,752,078	5/12/98	Delp et al.	395	827	
	D	5,758,084	5/26/98	Silverstein et al.	395	200.58	
	Е	5,758,089	5/26/98	Gentry et al.	395	200.64	
	F	5,758,186	5/26/98	Hamilton et al.	395	831	
7	G	5,758,194	5/26/98	Kuzma	395	886	-
	Н	5,790,804	8/4/98	Osborne	395	200.75	
	I	5,812,775	9/22/98	Van Seters et al.	395	200.43	•
	J	5,566,170	10/15/96	Bakke et al.	370	60	
	К	5,878,225	3/2/99	Bilansky etal.	395	200.57	
	L	5,930,830	7/27/99	Mendelson et al.	711	171	
	М	5,991,299	11/23/99	Radogna et al.	370	392	
	N	6,061,368	5/9/00	Hitzelberger	370	537	
	0	6,034,963	3/7/00	Minami et al.	370	401	
	P	5,678,060	10/14/97	Yokoyama et al.	395	831	
Examiner	•		Date Cons	idered			

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Sheet 6 of 10

	U.S	. Department of Con	merce, Patent a	and Trademark Office		Application No.: 10	/678,336	
E	// A TI	ON DISCLOSI	IDE STAT	EMENT BY APPLICAN	_{JT}	Filing date: Octobe	r 3, 2003	
2004	IAII	ON DISCLOS	JKE STAT	EMENT DI ATTLICAN		Inventors: Laurence	e B. Bouche	er, et a
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Examiner		Document	Date	Name	Class	Subclass	Filing D	
Initial		Number 4,366,538	12/28/82	Johnson et al.	364	200	If Appro	
•	В	5,588,121	12/24/96	Reddin et al.	395	200.15	05/09/96	
	C	5,701,516	12/23/97	Cheng et al.	395	842	01/19/96	
	D	5,809,328	09/15/98	Nogales et al.	395	825	12/21/95	
	E	5,931,918	08/03/99	Row et al.	709	300	07/30/97	
	F	5,935,205	08/10/99	Murayama et al.	709	216	06/21/96	
	G	5,937,169	08/10/99	Connery et al.	395	200.8	10/29/97	
	Н	5,941,969	08/24/99	Ram et al.	710	128	10/22/97	
	I	5,941,972	08/24/99	Hoese et al.	710	129	12/31/97	_
		5,950,203	09/07/99	Stakuis et al.	707	10	12/31/97	
	K	6,005,849	12/21/99	Roach et al.	370	276	09/24/97	
	L	6,016,513	01/18/00	Glen H. Lowe	709	250	02/19/98	
	M	6,026,452	02/15/00	William Michael Pitts	710	56	08/31/98	
	N	6,044,438	03/28/00	Howard Thomas Olnowich	711	130	07/10/97	
	0	6,047,356	04/04/00	Anderson et al.	711	129	04/18/94	
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	Q	6,065,096	05/16/00	Day et al.	711	114	09/30/97	7
	R	6,141,705	10/31/00	Anand et al.	710	15	06/12/98	3
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	T	6,226,680	05/01/01	Boucher et al.	709	230	04/27/98	3
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	W	WO 01/40960 A1	07/06/01	International				
		OTHER	ART (Includi	ing Author, Title, Date, Pertiner	nt Pages	, Etc.)		
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citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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ADEMART	IATIO.	N DISCLOSURE STATEMENT BY APPLICANT	Inventors: Laurence B. Boucher, et
			Group Art Unit: Unknown
METHOD	AND A	APPARATUS FOR DYNAMIC PACKET BATCHING	Examiner name: Unknown
		GH PERFORMANCE NETWORK INTERFACE	Attorney Docket No. ALA-008H
	T A	OTHER ART (Including Author, Title, Date, Pertinent Page "Two-Way TCP Traffic over Rate Controlled Channels: Effects and	
	A	Anujan Varma and K.K. Ramakrishnan, IEEE Transactions on Net December 1998.	
	В	"Toshiba Delivers First Chips to Make Consumer Devices Internet IReady News Archives, 3 pages, downloaded November 2, 1998.	-Ready Based on iReady Design,"
	С	"File System Design for an NFS File Server Appliance," by Dave I pages, Copyright 1996.	Hitz, James Lau and Michael Malcoli
- 4	D	Adaptec press release, "Adaptec Announces EtherStorage Technol	ogy", 2 pages, June 14, 2000.
	Е	Adaptec article entitled, "EtherStorage Frequently Asked Question	s," 5 pages, July 19, 2000.
	F	Adaptec article entitled, "EtherStorage White Paper," 7 pages, July	/ 19, 2000.
	G	Article entitled, "Computers; Storage," by James Berlino and Anar Research, 9 pages, August 7, 2000.	nda Baruah, CIBC World Markets Ec
	Н	Merrill Lynch article entitled, "Storage Futures," by Steven Miluno	ovich, 22 pages, May 10, 2000.
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	J	Internet-Draft "SCSI/TCP (SCSI over TCP)," by J.Satran et al., 38	pages, February 2000.
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			U.	S. Patent Documents				
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U.S. Department of Commerce, Patent and Trademark Office						Application No.: 10/678,336				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Filing date: October 3, 2003				
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	A	5,996,024	11/30/99	Blumenau	70)9	301			
	В	6,173,333	07/18/97	Jolitz et al.						
	С	2001/0025315A1		Jolitz				01/10/01		
	D	2001/0004354A1		Jolitz				01/10/01		
	Е	5,058,110	10/15/91	Beach et al.	37	70	85.6			
-	F	6,021,446	02/01/00	Gentry et al.	70)9	303			
	G	6,356,951	03/12/02	Gentry et al.	70)9	250			
	Н	6,389,468	05/14/02	Muller et al.	- 70)9	226			
	I	6,434,651	08/13/02	Gentry, Jr.	71	10	260			
	J	6,427,169	07/30/02	Elzur	70)9	224			
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	N	Internet RFC/STD/FYI/BCP Archives article with heading "RFC2140" entitled "TCP Control Block Interdependence", web address http://www.faqs.org/rfcs/rfc2140.html, 9 pages, printed 9/20/02.								
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